

Attorney Docket No. 42390.P13767

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Patent Application of:

Gary Solomon et al.

Application No. 10/041,040

Filed: December 28, 2001

For: **METHOD AND APPARATUS FOR  
SIGNALING AN ERROR CONDITION  
TO AN AGENT NOT EXPECTING A  
COMPLETION**

Examiner: Esaw T. Abraham

Art Unit: 2133

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Commissioner for Patents  
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INFORMATION DISCLOSURE STATEMENT

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the document(s) cited on that form. It is respectfully requested that the cited document(s) be considered, and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant.

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement (IDS) is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

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By: <u>Anne Collette</u> Anne Collette	Date: <u>6/3/2004</u>

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This IDS is being submitted on the same date as an Electronic IDS, a copy of which is attached hereto.

Pursuant to 37 C.F.R. § 1.97, this IDS is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

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  X   37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

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  X   A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

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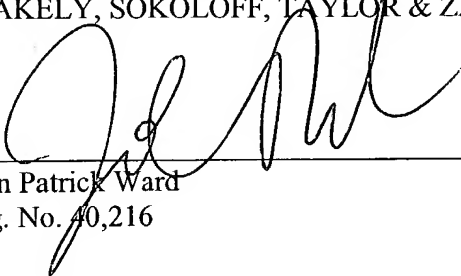
If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: \_\_\_\_\_

6/2/09

  
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Substitute for Form 1449A/PTO (Modified)		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)		Application Number	10/041,040
		Filing Date	December 28, 2001
		First Named Inventor:	Gary Solomon
		Group Art Unit	2133
		Examiner Name	Esaw T. Abraham
Sheet 1 of 1	Attorney Docket Number	42390.P13767	

### U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code <sup>2</sup>	Kind (If known)			

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### FOREIGN PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				
		EP	0 884 880	A2	Sony Corporation	12-16-1998		
		EP	0 967 757	A2	Sony Corporation	12-29-1999		

### OTHER ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		GREENWALD, M., et al., "Designing an Academic Firewall: Policy, Practice and Experience with SURF", Proceedings of the IEEE Symposium on Network and Distributed System Security (SNDSS '96), San Diego, CA, pp. 79-92 (February 22-23, 1996).	
		HyperTransport Technology Consortium, "HyperTransport I/O Link Specification", Revision 1.03, Chapter 10, pp. 111-118 (October 10, 2001).	
		IBM Corporation, "Deadlock-Free Message Passing Protocol in CSP-Based Languages", IBM Technical Disclosure Bulletin, Vol. 37, No. 7, pp. 192-195 (December 1989).	
		MITRA, N., "Efficient Encoding Rules for ASN.1-Based Protocols", AT&T Technical Journal, Vol. 73, No. 3, New York, US, pp. 80-93 (May/June 1994).	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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# ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

## Title of Invention

Method and apparatus for signaling an error condition to an  
agent not expecting a completion

Application Number: 10/041040

\*10/041040\*

Confirmation Number: 1949

First Named Applicant: Gary Solomon

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Attorney Docket Number: 42P13767

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Art Unit: 2133

Examiner: Esaw Abraham

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Search string: ( 4621364 or 5033047 or 5390298 or 5414717 or 5699519 or  
5867652 or 5909427 or 5920705 or 6055236 or 6084869 or  
6154839 or 6389016 or 6424625 or 6515967 or 20030031208  
or 20030039209 ).pn.

## US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	4621364	1986-11-04	Tschoepe			
	2	5033047	1991-07-16	Uehara			
	3	5390298	1995-02-14	Kuszmaul et al.			
	4	5414717	1995-05-09	Matsumoto et al.			
	5	5699519	1997-12-16	Shiobara			
	6	5867652	1999-02-02	Hurvig			
	7	5909427	1999-06-01	Manning et al.			
	8	5920705	1999-07-06	Lyon et al.			
	9	6055236	2000-04-25	Nessett et al.			
	10	6084869	2000-07-04	Fishman et al.			
	11	6154839	2000-11-28	Arrow et al.			
	12	6389016	2002-05-14	Sabaa et al.			
	13	6424625	2002-07-23	Larsson et al.			
	14	6515967	2003-02-04	Wei et al.			

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**US Published Applications**

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
	1	20030031208	2003-02-13	Anehem et al.			
	2	20030039209	2003-02-27	Webber			

**Signature**

Examiner Name	Date

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Electronic Version 1.1

Stylesheet Version v1.1.1

**Title of  
Invention**

Method and apparatus for signaling an error condition to an agent not expecting a completion

Submission Type: Information Disclosure Statement

Application Number: 10/041040

\*10/041040\*

EFS ID: 62023

Server Response:

Confirmation Code	Message
ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application
ICON1	1949
ISYS5	Filename= N/A BusinessRule= Validation System/Function Call Information. #Supporting Msg:Server unable to validate the Confirmaton/Application numbers at this time. They will be checked by PTO personnel later.

First Named Applicant: Gary Solomon

Attorney Docket Number: 42P13767

Timestamp: 2004-06-03 18:57:50 EDT

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Doc. Name	File Name	Size (Bytes)
us-ids	42P13767-usidst.xml	3345
us-ids	us-ids.dtd	7763
us-ids	us-ids.xsl	12026
package-data	42P13767-pkda.xml	1755
package-data	package-data.dtd	27025
package-data	us-package-data.xsl	19263
Total files size		71177

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